

Hartman's

# Nursing Assistant Care The Basics

Hartman Publishing, Inc.  
with Jetta Fuzy, RN, MS

FOURTH EDITION



THANK YOU  
for  
**20**  
years!  




# Hartman's Nursing Assistant Care

## The Basics

Hartman Publishing, Inc.  
with Jetta Fuzy, RN, MS

FOURTH EDITION



[hartmanonline.com](http://hartmanonline.com)



Hartman

## Credits

### Managing Editor

Susan Alvare Hedman

### Designer

Kirsten Browne

### Cover Illustrator

Jo Tronc

### Production

Thad Castillo

### Photography

Matt Pence, Pat Berrett, Art Clifton, and Dick Ruddy

### Proofreaders

Kristin Calderon, Kristin Cartwright, Melanie Futrell, and Eliza Martin

### Sales/Marketing

Deborah Rinker, Kendra Robertson, Erika Walker, and Belinda Midyette

### Customer Service

Fran Desmond, Thomas Noble, Angela Storey, Eliza Martin, and Chris Whitlock

### Warehouse Coordinator

Chris Midyette

## Copyright Information

© 2014 by Hartman Publishing, Inc.  
1313 Iron Ave SW  
Albuquerque, NM 87102  
(505) 291-1274  
web: [hartmanonline.com](http://hartmanonline.com)  
email: [orders@hartmanonline.com](mailto:orders@hartmanonline.com)  
Twitter: @HartmanPub

All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

ISBN 978-1-60425-050-3

PRINTED IN THE USA

## Notice to Readers

Though the guidelines and procedures contained in this text are based on consultations with healthcare professionals, they should not be considered absolute recommendations. The instructor and readers should follow employer, local, state, and federal guidelines concerning healthcare practices. These guidelines change, and it is the reader's responsibility to be aware of these changes and of the policies and procedures of his or her healthcare facility.

The publisher, authors, editors, and reviewers cannot accept any responsibility for errors or omissions or for any consequences from application of the information in this book and make no warranty, express or implied, with respect to the contents of the book. The publisher does not warrant or guarantee any of the products described herein or perform any analysis in connection with any of the product information contained herein.

## Special Thanks

A heartfelt thank you goes to our insightful and wonderful reviewers, listed in alphabetical order:

Theresa J. DeBon, RN, BS  
Tulsa, OK

Ruth Hardke, RN  
Stow, OH

Charles Illian, RN, BSN, Infection Preventionist  
Orlando, FL

Marie Johnston, RN  
Harrisburg, PA

Sally Lyle, RN, BSN  
Rock Hill, SC

Wendy Pickard-Tanios, RN, BS, ONC  
Round Rock, TX

Christine A. Smith, RN, MSN  
Poquoson, VA

We are very appreciative of the many sources who shared their informative photos with us:

- Briggs Corporation
- Detecto
- Dreamstime
- Exergen Corporation
- Harrisburg Area Community College
- Dr. James Heilman
- Hollister Incorporated
- Invacare Corporation
- Medline Industries
- National Pressure Ulcer Advisory Panel
- North Coast Medical, Inc.
- Nova Medical Products
- RG Medical Diagnostics of Wixom, MI
- Starkey Hearing Technologies
- Vancare, Inc.
- Welch Allyn

## Gender Usage

This textbook utilizes the pronouns *he*, *his*, *she*, and *her* interchangeably to denote healthcare team members and residents.

Please email corrections  
and suggestions to  
[editor@hartmanonline.com](mailto:editor@hartmanonline.com).



# Contents

## 1 The Nursing Assistant in Long-Term Care

	<i>Page</i>
1. Compare long-term care to other healthcare settings	1
2. Describe a typical long-term care facility	2
3. Explain Medicare and Medicaid	3
4. Describe the nursing assistant's role	3
5. Describe the care team and the chain of command	5
6. Define policies, procedures, and professionalism	7
7. List examples of legal and ethical behavior and explain Residents' Rights	10
8. Explain legal aspects of the resident's medical record	17
9. Explain the Minimum Data Set (MDS)	19
10. Discuss incident reports	19

## 2 Foundations of Resident Care

1. Understand the importance of verbal and written communications	20
2. Describe barriers to communication	23
3. List guidelines for communicating with residents with special needs	25
4. Identify ways to promote safety and handle non-medical emergencies	30
5. Demonstrate how to recognize and respond to medical emergencies	35
6. Describe and demonstrate infection prevention practices	44

## 3 Understanding Residents

1. Identify basic human needs	58
2. Define <i>holistic care</i>	60
3. Explain why promoting independence and self-care is important	60
4. Identify ways to accommodate cultural differences	61
5. Describe the need for activity	62
6. Discuss family roles and their significance in health care	63

## Learning Objective

7. Describe the stages of human development	64
8. Discuss developmental disabilities	67
9. Describe some types of mental illness	67
10. Explain how to care for dying residents	69
11. Define the goals of a hospice program	74

## 4 Body Systems and Related Conditions

1. Describe the integumentary system	76
2. Describe the musculoskeletal system and related conditions	77
3. Describe the nervous system and related conditions	81
4. Describe the circulatory system and related conditions	87
5. Describe the respiratory system and related conditions	90
6. Describe the urinary system and related conditions	93
7. Describe the gastrointestinal system and related conditions	94
8. Describe the endocrine system and related conditions	98
9. Describe the reproductive system and related conditions	100
10. Describe the immune and lymphatic systems and related conditions	102

## 5 Confusion, Dementia, and Alzheimer's Disease

1. Discuss confusion and delirium	107
2. Describe dementia and discuss Alzheimer's disease	108
3. List strategies for better communication with residents with Alzheimer's disease	110
4. List and describe interventions for problems with common activities of daily living (ADLs)	112
5. List and describe interventions for common difficult behaviors related to Alzheimer's disease	114
6. Describe creative therapies for residents with Alzheimer's disease	118

<i>Learning Objective</i>	<i>Page</i>
---------------------------	-------------

## 6 Personal Care Skills

1. Explain personal care of residents	120
2. Identify guidelines for providing skin care and preventing pressure ulcers	121
3. Describe guidelines for assisting with bathing	125
4. Describe guidelines for assisting with grooming	133
5. List guidelines for assisting with dressing	139
6. Identify guidelines for proper oral hygiene	142
7. Explain guidelines for assisting with toileting	147
8. Explain the guidelines for safely positioning and moving residents	151

## 7 Basic Nursing Skills

1. Explain admission, transfer, and discharge of a resident	164
2. Explain the importance of monitoring vital signs	168
3. Explain how to measure weight and height	180
4. Explain restraints and how to promote a restraint-free environment	183
5. Define <i>fluid balance</i> and explain intake and output (I&O)	185
6. Explain care guidelines for urinary catheters, oxygen therapy, and IV therapy	190
7. Discuss a resident's unit and related care	195
8. Explain the importance of sleep and perform proper bedmaking	196
9. Discuss dressings and bandages	201

## 8 Nutrition and Hydration

1. Identify the six basic nutrients and explain MyPlate	203
2. Describe factors that influence food preferences	206
3. Explain special diets	207
4. Describe how to assist residents in maintaining fluid balance	209
5. List ways to identify and prevent unintended weight loss	211
6. Identify ways to promote appetites at mealtime	212

<i>Learning Objective</i>	<i>Page</i>
---------------------------	-------------

7. Demonstrate how to assist with eating	213
8. Identify signs and symptoms of swallowing problems	216
9. Describe how to assist residents with special needs	218

## 9 Rehabilitation and Restorative Care

1. Discuss rehabilitation and restorative care	220
2. Describe the importance of promoting independence and list ways exercise improves health	221
3. Discuss ambulation and describe assistive devices and equipment	221
4. Explain guidelines for maintaining proper body alignment	225
5. Describe care guidelines for prosthetic devices	226
6. Describe how to assist with range of motion exercises	227
7. List guidelines for assisting with bladder and bowel retraining	231

## 10 Caring for Yourself

1. Describe how to find a job	233
2. Describe a standard job description and explain how to manage time and assignments	235
3. Discuss how to manage and resolve conflict	236
4. Describe employee evaluations and discuss appropriate responses to criticism	237
5. Discuss certification and explain the state's registry	238
6. Describe continuing education	238
7. Explain ways to manage stress	239

<b>Abbreviations</b>	<b>242</b>
----------------------	------------

<b>Glossary</b>	<b>243</b>
-----------------	------------

<b>Index</b>	<b>254</b>
--------------	------------

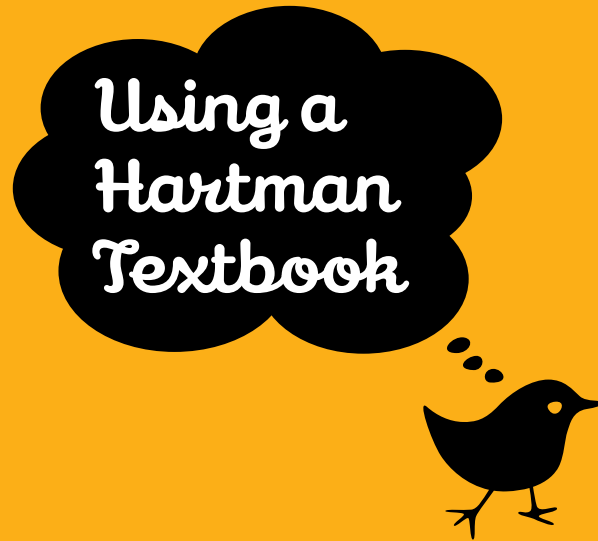
**Procedure** **Page**

# Procedures

Performing abdominal thrusts for the conscious person	37
Responding to shock	38
Responding to a heart attack	39
Controlling bleeding	39
Treating burns	40
Responding to fainting	40
Responding to seizures	42
Responding to vomiting	43
Washing hands (hand hygiene)	48
Putting on (donning) and removing (doffing) gown	49
Putting on (donning) mask and goggles	50
Putting on (donning) gloves	51
Removing (doffing) gloves	51
Caring for an ostomy	97
Giving a complete bed bath	125
Giving a back rub	129
Shampooing hair in bed	130
Giving a shower or a tub bath	132
Providing fingernail care	134
Providing foot care	135
Combing or brushing hair	136
Shaving a resident	137
Dressing a resident with an affected (weak) right arm	140
Applying knee-high elastic stockings	141
Providing oral care	142
Providing oral care for the unconscious resident	144
Flossing teeth	145
Cleaning and storing dentures	146
Assisting resident with use of bedpan	147
Assisting a male resident with a urinal	149
Assisting a resident to use a portable commode or toilet	150
Moving a resident up in bed	152

**Procedure** **Page**

Moving a resident to the side of the bed	153
Turning a resident	154
Logrolling a resident	155
Assisting resident to sit up on side of bed: dangling	156
Transferring a resident from bed to wheelchair	160
Transferring a resident using a mechanical lift	162
Admitting a resident	165
Transferring a resident	166
Discharging a resident	167
Measuring and recording oral temperature	170
Measuring and recording rectal temperature	172
Measuring and recording tympanic temperature	173
Measuring and recording axillary temperature	174
Measuring and recording radial pulse and counting and recording respirations	175
Measuring and recording blood pressure (one-step method)	177
Measuring and recording weight of an ambulatory resident	180
Measuring and recording height of a resident	182
Measuring and recording urinary output	186
Collecting a routine urine specimen	187
Collecting a clean-catch (mid-stream) urine specimen	188
Collecting a stool specimen	189
Providing catheter care	191
Emptying the catheter drainage bag	192
Making an occupied bed	197
Making an unoccupied bed	200
Changing a dry dressing using non-sterile technique	201
Serving fresh water	211
Feeding a resident	214
Assisting a resident to ambulate	222
Assisting with ambulation for a resident using a cane, walker, or crutches	224
Assisting with passive range of motion exercises	228



Understanding how this book is organized and what its special features are will help you make the most of this resource!

We have assigned each chapter its own colored tab. Each colored tab contains the chapter number and title, and it is on the side of every page.



## 1. List examples of legal and ethical behavior

Everything in this book, the student workbook, and the instructor's teaching material is organized around learning objectives. A learning objective is a very specific piece of knowledge or a very specific skill. After reading the text, if you can do what the learning objective says, you know you have mastered the material.

### bloodborne pathogens

Bold key terms are located throughout the text, followed by their definitions. They are also listed in the glossary at the back of this book.

### Making an occupied bed

All care procedures are highlighted by the same black bar for easy recognition.

### Guidelines: Preventing Falls

Guidelines and Observing and Reporting lists are colored green for easy reference.

### Residents' Rights

### Abuse and Alzheimer's Disease

These boxes teach important information on how to support and promote Residents' Rights.



# Beginning and ending steps in care procedures

For most care procedures, these steps should be performed. Understanding why they are important will help you remember to perform each step every time care is provided.

## Beginning Steps

**Identify yourself by name. Identify the resident by name.**

A resident's room is his home. Residents have a right to privacy. Before any procedure, knock and wait for permission to enter the resident's room. Upon entering his room, identify yourself and state your title. Residents have the right to know who is providing their care. Identify and greet the resident. This shows courtesy and respect. It also establishes correct identification. This prevents care from being performed on the wrong person.

**Wash your hands.**

Handwashing provides for infection prevention. Nothing fights infection in facilities like performing consistent, proper hand hygiene. Handwashing may need to be done more than once during a procedure. Practice Standard Precautions with every resident.

**Explain procedure to resident. Speak clearly, slowly, and directly. Maintain face-to-face contact whenever possible.**

Residents have a right to know exactly what care you will provide. It promotes understanding, cooperation, and independence. Residents are able to do more for themselves if they know what needs to happen.

**Provide for the resident's privacy with a curtain, screen, or door.**

Doing this maintains residents' right to privacy and dignity. Providing for privacy in a facility is not simply a courtesy; it is a legal right.

**Adjust the bed to a safe level, usually waist high. Lock the bed wheels.**

Locking the bed wheels is an important safety measure. It ensures that the bed will not move as you are performing care. Raising the bed helps you to remember to use proper body mechanics. This prevents injury to you and to residents.

## Ending Steps

**Return bed to lowest position.  
Remove privacy measures.**

Lowering the bed provides for residents' safety. Remove extra privacy measures added during the procedure. This includes anything you may have draped over and around residents, as well as privacy screens.

**Place call light within resident's reach.**

A call light allows residents to communicate with staff as necessary. It must always be left within the resident's reach. You must respond to call lights promptly.

**Wash your hands.**

Handwashing is the most important thing you can do to prevent the spread of infection.

**Report any changes in resident to the nurse. Document procedure using facility guidelines.**

You will often be the person who spends the most time with a resident, so you are in the best position to note any changes in a resident's condition. Every time you provide care, observe the resident's physical and mental capabilities, as well as the condition of his or her body. For example, a change in a resident's ability to dress himself may signal a greater problem. After you have finished giving care, document the care using facility guidelines. Do not record care before it is given. If you do not document the care you gave, legally it did not happen.



In addition to the beginning and ending steps listed above, remember to follow infection prevention guidelines. Even if a procedure in this book does not tell you to wear gloves or other PPE, there may be times when it is appropriate.

A few procedures in this book mention positioning side rails on beds, but most references to side rails have been omitted. This is due to the decline in their use because of risk of injury. Follow your facility's policies regarding side rails.



# 1

## The Nursing Assistant in Long-Term Care

### 1. Compare long-term care to other healthcare settings

Welcome to the world of health care. Health care happens in many places. Nursing assistants work in many of these settings. In each setting similar tasks will be performed. However, each setting is also unique.

This textbook will focus on long-term care.

**Long-term care (LTC)** is given in long-term care facilities (LTCFs) for persons who need 24-hour skilled care. **Skilled care** is medically necessary care given by a skilled nurse or therapist; it is available 24 hours a day. It is ordered by a doctor and involves a treatment plan. This type of care is given to people who need a high level of care for ongoing conditions. The term *nursing homes* was once widely used to refer to these facilities. Now they are often known as *long-term care facilities*, *skilled nursing facilities*, *rehabilitation centers*, or *extended care facilities*.

People who live in long-term care facilities may be disabled. They are often elderly, but younger adults sometimes require long-term care, too. They may arrive from hospitals or other healthcare settings. Their **length of stay** (the number of days a person stays in a care facility) may be short, such as a few days or months, or longer than six months. Some of these people will have a **terminal illness**. This means that the illness

will eventually cause death. Other people may recover and return to their homes or to other care facilities or situations.

Most people who live in long-term care facilities have **chronic** conditions. This means the conditions last a long period of time, even a lifetime. Chronic conditions include physical disabilities, heart disease, and dementia. (Chapters 4 and 5 have more information about these disorders and diseases.) People who live in these facilities are usually referred to as *residents* because the facility is where they reside or live. These places are their homes for the duration of their stay.

People who need long-term care will have different **diagnoses**, or medical conditions determined by a doctor. The stages of illnesses or diseases affect how sick people are and how much care they will need. The jobs of nursing assistants will also vary. This is due to the fact that each person has different symptoms, abilities, and needs.

Other healthcare settings include the following:

**Home health care** is provided in a person's home (Fig. 1-1). This type of care is also generally given to people who are older and are chronically ill but who are able to and wish to remain at home. Home care may also be needed when a person is weak after a recent hospital stay. Home care includes many of the services offered in other settings.



*Fig. 1-1. Home care is performed in a person's home.*

**Assisted living** facilities are residences for people who need some help with daily care, such as showers, meals, and dressing. Help with medications may also be given. People who live in these facilities do not need 24-hour skilled care. Assisted living facilities allow more independent living in a home-like environment. An assisted living facility may be attached to a long-term care facility, or it may stand alone.

**Adult day services** are for people who need some help and supervision during certain hours, but who do not live in the facility where care is provided. Generally, adult day services are for people who are not seriously ill or disabled. Adult day services can also provide a break for spouses, family members, and friends.

**Acute care** is 24-hour skilled care given in hospitals and ambulatory surgical centers. It is for people who require short-term, immediate care for illnesses or injuries (Fig. 1-2). People are also admitted for short stays for surgery.



*Fig. 1-2. Acute care is performed in hospitals for illnesses or injuries that require immediate care.*

**Subacute care** is care given in a hospital or in a long-term care facility. It is used for people who need less care than for an acute (sudden onset, short-term) illness, but more care than for a chronic (long-term) illness. Treatment usually ends when the condition has stabilized or after the set time for treatment has been completed. The cost is usually less than a hospital but more than long-term care.

**Outpatient care** is usually given for less than 24 hours. It is for people who have had treatments or surgery and need short-term skilled care.

**Rehabilitation** is care given by specialists. Physical, occupational, and speech therapists restore or improve function after an illness or injury. Chapter 9 has information about rehabilitation.

**Hospice care** is given in facilities or homes for people who have about six months or less to live. Hospice workers give physical and emotional care and comfort. They also support families. Information about hospice care can be found in Chapter 3.

## 2. Describe a typical long-term care facility

Long-term care facilities (LTCFs) are businesses that provide skilled nursing care 24 hours a day. These facilities may offer assisted living housing, dementia care, or subacute care. Some facilities offer specialized care. Others care for all types of residents. The typical long-term care facility offers personal care for all residents and focused care for residents with special needs. Personal care includes bathing, skin, nail and hair care, and assistance with walking, eating, dressing, transferring, and toileting. All of these daily personal care tasks are called **activities of daily living**, or **ADLs**. Other common services offered at these facilities include the following:

- Physical, occupational, and speech therapy
- Wound care

- Care of different types of tubes, such as catheters (thin tubes inserted into the body to drain fluids or inject fluids)
- Nutrition therapy
- Management of chronic diseases, such as Alzheimer's disease, AIDS, diabetes, chronic obstructive pulmonary disease (COPD), cancer, and congestive heart failure (CHF)

When specialized care is offered at long-term care facilities, the employees must have special training. Residents with similar needs may be placed in units together. Non-profit companies or for-profit companies can own long-term care facilities.

### Residents' Rights

#### Culture Change and Person-Directed Care

Some long-term care facilities are adopting newer models of care. These models promote meaningful environments with individualized approaches to care. **Culture change** is a term given to the process of transforming services for elders so that they are based on the values and practices of the person receiving care. Culture change involves respecting both elders and those working with them. Core values are promoting choice, dignity, respect, self-determination, and purposeful living. To honor culture change, care settings may need to change their organization practices, physical environments, and relationships.

**Person-directed care** emphasizes the individuality of the person who needs care, and seeks to build community by recognizing and developing each person's capabilities. Promoting dignity and providing caring environments is key. The Pioneer Network's website, [pioneernetwork.net](http://pioneernetwork.net), and the Eden Alternative's website, [edenalt.org](http://edenalt.org), have more information.

### 3. Explain Medicare and Medicaid

The Centers for Medicare & Medicaid Services (CMS) is a federal agency within the U.S. Department of Health and Human Services (Fig. 1-3). CMS runs two national healthcare programs—Medicare and Medicaid. They both help pay for health care and health insurance for millions of Americans. CMS has many other responsibilities as well.



Fig. 1-3. The CMS website's address is [cms.hhs.gov](http://cms.hhs.gov).

**Medicare** is a federal health insurance program that was established in 1965 for people aged 65 or older. It also covers people of any age with permanent kidney failure or certain disabilities. Medicare has four parts. Part A helps pay for care in a hospital or skilled nursing facility or for care from a home health agency or hospice. Part B helps pay for doctor services and other medical services and equipment. Part C allows private health insurance companies to provide Medicare benefits. Part D helps pay for medications prescribed for treatment. Medicare will only pay for care it determines to be medically necessary.

**Medicaid** is a medical assistance program for low-income people, as well as for people with disabilities. It is funded by both the federal government and each state. Eligibility and coverage varies from state to state and is determined by income and special circumstances. People must qualify for this program.

Medicare and Medicaid pay long-term care facilities a fixed amount for services. This amount is based on the resident's needs upon admission and throughout his stay at the facility.

### 4. Describe the nursing assistant's role

Nursing assistants can have many different titles. *Nurse aide*, *certified nurse aide*, *patient*



*care technician*, and *certified nursing assistant* are some examples. The title given varies by state requirements. This textbook will use the term *nursing assistant*.

Nursing assistants (NAs) perform assigned nursing tasks, such as taking a resident's temperature. Nursing assistants also provide personal care, such as bathing residents and helping with hair care. Promoting independence and self-care are other very important tasks that nursing assistants do. Other nursing assistant duties include the following:

- Helping residents with toileting needs
- Assisting residents to move around safely
- Keeping residents' living areas neat and clean
- Assisting with meals (Fig. 1-4)
- Caring for supplies and equipment
- Helping residents dress
- Making beds
- Giving backrubs
- Helping residents with mouth care



**Fig. 1-4.** Helping residents eat and drink is an important part of an NA's job.

Nursing assistants are not allowed to insert or remove tubes, change sterile dressings, or give tube feedings. Nursing assistants are not allowed to give medications; nurses are responsible for giving medications.

Nursing assistants spend more time with residents than other care team members. They act as the “eyes and ears” of the team. Observing changes in a resident's condition and reporting them is a very important duty of the NA. Residents' care can be revised or updated as conditions change. Another duty of the NA is noting important information about the resident (Fig. 1-5). This is called **charting**, or documenting.



**Fig. 1-5.** Observing carefully and reporting accurately are some of the most important duties an NA will have.

Nursing assistants are part of a team of health professionals. The team includes doctors, nurses, social workers, therapists, dietitians, and specialists. The resident and resident's family are part of the team. Everyone, including the resident, works closely together to meet goals. Goals include helping residents to recover from illnesses and to do as much as possible for themselves.

## Residents' Rights

### Responsibility for Residents

All residents are the responsibility of each nursing assistant. An NA will receive assignments to perform tasks, care, and other duties for specific residents. If he sees a resident who needs help, even if the resident is not on his assignment sheet, the NA should provide the needed care.

## 5. Describe the care team and the chain of command

Residents will have different needs and problems. Healthcare professionals with a wide range of education and experience will help care for them. This group is known as the *care team*. Members of the care team include the following:

**Nursing Assistant (NA) or Certified Nursing Assistant (CNA):** The nursing assistant (NA) performs assigned tasks, such as taking vital signs. The NA also provides or assists with personal care, such as bathing residents and helping with toileting. Nursing assistants must have at least 75 hours of training, and in many states, training exceeds 100 hours.

**Registered Nurse (RN):** In long-term care, a registered nurse coordinates, manages, and provides skilled nursing care. This includes giving special treatments and medications as prescribed by a doctor. An RN also assigns tasks and supervises daily care of residents by nursing assistants. A registered nurse is a licensed professional who has graduated from a two- to four-year nursing program. RNs have diplomas or college degrees. They have passed a licensing examination. Registered nurses may have additional academic degrees or education in specialty areas.

**Licensed Practical Nurse (LPN) or Licensed Vocational Nurse (LVN):** A licensed practical nurse or licensed vocational nurse gives medications and treatments. LPNs may also supervise nursing assistants' daily care of residents. An LPN/LVN is a licensed professional who has completed one to two years of education and has passed a licensing examination.

**Physician or Doctor (MD [medical doctor] or DO [doctor of osteopathy]):** A doctor's job is to diagnose disease or disability and prescribe treatment (Fig. 1-6). Doctors have graduated from four-year medical schools, which they attended after receiving bachelor's degrees. Many doctors also attend specialized training programs after medical school.



**Fig. 1-6.** Doctors diagnose disease and prescribe treatment.

**Physical Therapist (PT or DPT):** A physical therapist evaluates a person and develops a treatment plan. Goals are to increase movement, improve circulation, promote healing, reduce pain, prevent disability, and regain or maintain mobility (Fig. 1-7). A PT gives therapy in the form of heat, cold, massage, ultrasound, electrical stimulation, and exercise to muscles, bones, and joints. Physical therapist education programs are mostly offered at the doctoral degree level (doctor of physical therapy, or DPT). Entrance into these programs usually requires an undergraduate degree. Doctoral degree programs usually last three years. PTs have to pass national and state licensure exams before they can practice.



**Fig. 1-7.** A physical therapist will help reduce pain and restore specific abilities.



**Occupational Therapist (OT):** An occupational therapist helps residents learn to adapt to disabilities. An OT may help train residents to perform activities of daily living (ADLs). This often involves the use of equipment called **assistive** or **adaptive devices**. The OT evaluates the resident's needs and plans a treatment program. Occupational therapists are required to have a minimum of a master's degree. OTs have to pass a national certification examination, and most must be licensed within their state.

**Speech-Language Pathologist (SLP):** A speech-language pathologist, or speech therapist, identifies communication disorders, addresses factors involved in recovery, and develops a plan of care to meet recovery goals. An SLP teaches exercises to help the resident improve or overcome speech problems. An SLP also evaluates a person's ability to swallow food and drink. Speech-language pathologists are required to have a master's degree in speech-language pathology. Most states require that SLPs be licensed or certified to work.

**Registered Dietitian (RDT):** A registered dietitian creates diets for residents with special needs. Special diets can improve health and help manage illness. RDTs may supervise the preparation and service of food and educate others about healthy eating habits. Registered dietitians have completed a bachelor's degree. They may also have completed a postgraduate degree. Most states require that RDTs be licensed or certified.

**Medical Social Worker (MSW):** A medical social worker determines residents' needs and helps get them support services, such as counseling or financial assistance. He or she may help residents obtain clothing and personal items if the family is not involved or does not visit often. A medical social worker may book appointments and transportation. Generally, MSWs hold a master's degree in social work.

**Activities Director:** The activities director plans activities for residents to help them socialize and stay active. These activities are meant to

improve and maintain residents' well-being and to prevent further complications from illness or disability. An activities director may have a bachelor's degree, associate's degree, or qualifying work experience. An activities director may be called a *recreational therapist* depending upon education and experience.

**Resident and Resident's Family:** The resident is an important member of the care team. The resident has the right to make decisions about his or her own care. The resident helps plan care and makes choices. The resident's family may also be involved in these decisions. The family is a great source of information. They know the resident's personal preferences, history, diet, rituals, and routines.

## Residents' Rights

### Resident as Member of Care Team

All members of the care team should focus on the resident. The team revolves around the resident and his or her condition, treatment, and progress. Without the resident, there is no care team.

A nursing assistant carries out instructions given to her by a nurse. The nurse is acting on the instructions of a doctor or other member of the care team. This is called the **chain of command**. It describes the line of authority and helps to make sure that residents get proper health care. The chain of command also protects employees and employers from liability. **Liability** is a legal term. It means that someone can be held responsible for harming someone else. For example, imagine that something an NA does for a resident harms that resident. However, what the NA did was in the care plan and was done according to policy and procedure. In that case she may not be liable, or responsible, for hurting the resident. However, if an NA does something not in the care plan that harms a resident, she could be held responsible. That is why it is important for the team to follow instructions in the care plan and for the facility to have a chain of command (Fig. 1-8).



**Fig. 1-8.** The chain of command describes the line of authority and helps ensure that the resident receives proper care.

Nursing assistants must understand what they can and cannot do. This is so that they do not harm residents or involve themselves or their employer in a lawsuit. States certify that nursing assistants are qualified to work. However, nursing assistants are not licensed healthcare providers. Everything they do in their job is assigned by a licensed healthcare professional. They work

under the authority of another person's license. That is why these professionals will show great interest in what NAs do and how they do it.

Every state grants the right to practice various jobs in health care through licensure. Examples include a license to practice nursing, medicine, or physical therapy. Each member of the care team works within his or her scope of practice. A **scope of practice** defines the tasks that health-care providers are allowed to do and how to do them correctly. Laws and regulations on what NAs can and cannot do vary from state to state. It is important that NAs know which tasks are outside their scope of practice and not perform them.

The resident **care plan** is individualized for each resident. It is developed to help achieve the goals of care. A nurse creates the care plan. The resident is also involved in the planning. The care plan lists the tasks that the care team, including NAs, must perform. It states how often these tasks should be performed and how they should be carried out.

The care plan is a guide to help the resident be as healthy as possible. It is critical that NAs make observations and report them to the nurse. Even simple observations can be very important. The information that NAs collect and the changes they observe help determine how care plans may need to change. NAs spend so much time with residents; they are likely to have valuable information that will help in care planning.

## 6. Define policies, procedures, and professionalism

All facilities have manuals outlining their policies and procedures. A **policy** is a course of action that should be taken every time a certain situation occurs. For example, a very basic policy is that healthcare information must remain confidential. A **procedure** is a method, or way, of doing something. For example, a facility will have a procedure for reporting information

about residents. The procedure explains what form to complete, when and how often to fill it out, and to whom it is given. New employees will be told where to find a list of policies and procedures that all staff are expected to follow. Common policies at long-term care facilities include the following:

- All resident information must remain confidential. This is not only a facility rule, it is also the law. More information on confidentiality, including the Health Insurance Portability and Accountability Act (HIPAA) can be found later in the chapter.
- The plan of care must always be followed. **Tasks not listed in the care plan or approved by the nurse should not be performed.**
- Nursing assistants should not do tasks that are not included in the job description.
- Nursing assistants must report important events or changes in residents to a nurse.
- Personal problems must not be discussed with the resident or the resident's family.
- Nursing assistants should not take money or gifts from residents or their families.
- Nursing assistants must be on time for work and must be dependable.

Employers will have policies and procedures for every resident care situation. Written procedures may seem long and complicated, but each step is important. NAs must become familiar with and follow their facility's policies and procedures.

**Professional** means having to do with work or a job. The opposite of professional is **personal**. It refers to life outside a job, such as family, friends, and home life. **Professionalism** is behaving properly when on the job. It includes dressing appropriately and speaking well. It also includes being on time, completing tasks, and reporting to the nurse. For an NA, professionalism means following the care plan, making careful observations, and reporting accurately. Following policies and procedures is an

important part of professionalism. Residents, co-workers, and supervisors respect employees who behave in a professional way. Professionalism helps people keep their jobs. It may also help them earn promotions and raises.

A professional relationship with residents includes the following:

- Keeping a positive attitude
- Doing only the assigned tasks that are in the care plan and that the NA is trained to do
- Keeping all residents' information confidential
- Being polite and cheerful at all times (Fig. 1-9)
- Not discussing personal problems
- Not using personal cell phones in residents' rooms or in any resident care area
- Not using profanity, even if a resident does
- Listening to the resident
- Calling a resident *Mr.*, *Mrs.*, *Ms.*, or *Miss*, and his or her last name, or by the name he or she prefers. Terms such as *sweetie*, *honey*, *dearie*, etc., are disrespectful and should not be used.
- Never giving or accepting gifts
- Always explaining care before providing it
- Following practices, such as handwashing, to protect care providers and residents



**Fig. 1-9.** Nursing assistants are expected to be polite and cheerful.

A professional relationship with employers includes the following:

- Completing tasks efficiently
- Always following all policies and procedures
- Always documenting and reporting carefully and correctly
- Reporting problems with residents or tasks
- Reporting anything that keeps an NA from completing duties
- Asking questions when the NA does not know or understand something
- Taking directions or criticism without getting upset
- Being clean and neatly dressed and groomed
- Always being on time
- Telling his employer if the NA cannot report for work
- Following the chain of command
- Participating in education programs
- Being a positive role model for the facility

Nursing assistants must be

- **Compassionate:** Being **compassionate** is being caring, concerned, empathetic, and understanding. Demonstrating **empathy** means identifying with the feelings of others. People who are compassionate understand others' problems. They care about them. Compassionate people are also sympathetic. Showing **sympathy** means sharing in the feelings and difficulties of others.
- **Honest:** An honest person tells the truth and can be trusted. Residents need to feel that they can trust those who care for them. The care team depends on honesty in planning care. Employers count on truthful records of care and observations.
- **Tactful:** Being **tactful** means showing sensitivity and having a sense of what is appropriate when dealing with others.

- **Conscientious:** People who are **conscientious** try to do their best. They are guided by a sense of right and wrong. They are alert, observant, accurate, and responsible. Giving conscientious care means making accurate observations and reports, following the care plan, and taking responsibility for actions (Fig. 1-10).



*Fig. 1-10. Nursing assistants must be conscientious about documenting observations.*

- **Dependable:** NAs must be able to make and keep commitments. They must be at work on time. They must skillfully do tasks, avoid too many absences, and help their peers when needed.
- **Patient:** People who are patient do not lose their temper easily. They do not act irritated or complain when things are hard. Residents are often elderly and may be sick or in pain. They may take a long time to do things. They may become upset. Nursing assistants must be patient. They must not rush residents or act annoyed.
- **Respectful:** Being respectful means valuing other people's individuality. This includes their age, religion, culture, feelings, practices, and beliefs. People who are respectful treat others politely and kindly.
- **Unprejudiced:** NAs work with people from many different backgrounds. They must give each resident the same quality care regardless of age, gender, sexual orientation, religion, race, ethnicity, or condition.



- **Tolerant:** Being tolerant means respecting others' beliefs and practices and not judging them. NAs may not like or agree with things that residents or their families do or have done. However, their job is to care for each resident as assigned, not to judge him or her. NAs should put aside their opinions. They should see each resident as an individual who needs their care.

## 7. List examples of legal and ethical behavior and explain Residents' Rights

Ethics and laws guide behavior. **Ethics** are the knowledge of right and wrong. An ethical person has a sense of duty toward others. He tries to do what is right. **Laws** are rules set by the government to help people live peacefully together and to ensure order and safety. Ethics and laws are very important in health care. They protect people receiving care and guide those giving care. NAs and all care team members should be guided by a code of ethics. They must know the laws that apply to their jobs.

### Guidelines: Legal and Ethical Behavior

- G Be honest at all times.
- G Protect residents' privacy and confidentiality. Do not discuss their cases except with other members of the care team.
- G Keep staff information confidential.
- G Report abuse or suspected abuse of residents. Assist residents in reporting abuse if they wish to make a complaint of abuse.
- G Follow the care plan and assignments. If you make a mistake, report it promptly.
- G Do not perform any tasks outside your scope of practice.
- G Report all resident observations and incidents to the nurse.
- G Document accurately and promptly.

- G Follow rules on safety and infection prevention (see Chapter 2).
- G Do not accept gifts or tips (Fig. 1-11).



**Fig. 1-11.** Nursing assistants should not accept money or gifts because it is unprofessional and may lead to conflict.

- G Do not get personally or sexually involved with residents or their family members or friends.

Due to reports of poor care and abuse in long-term care facilities, the U.S. government passed the **Omnibus Budget Reconciliation Act (OBRA)** in 1987. It has been updated several times. OBRA requires that the Nurse Aide Training and Competency Evaluation Program (NATCEP) set minimum standards for nursing assistant training. NAs must complete at least 75 hours of training that covers topics like communication, preventing infections, safety and emergency procedures, and how to promote residents' independence and legal rights. Training must also include specific nursing skills, such as how to take vital signs. NAs must also know how to respond to mental health and social services needs, rehabilitative needs, and how to care for residents who are cognitively impaired.

OBRA requires that NAs pass a competency evaluation (testing program) before they can be employed. They must attend regular in-service education (a minimum of 12 hours per year) to keep their skills updated.

OBRA also requires that states keep a current list of nursing assistants in a state registry. In addition, OBRA identifies standards that

instructors must meet in order to train nursing assistants. OBRA sets guidelines for minimum staff requirements. It specifies the minimum services that long-term care facilities must provide.

The resident assessment requirements are another important part of OBRA. OBRA requires that complete assessments be done on every resident. The assessment forms are the same for every facility.

OBRA made major changes in the survey process. Surveys are inspections that help make sure that long-term care facilities follow state and federal regulations. Surveys are done periodically by the state agency that licenses facilities. They may be done more often if a facility has been cited for problems. To **cite** means to find a problem through a survey. Inspections may be done less often if the facility has a good record. Inspection teams include a variety of trained healthcare professionals. The results from surveys are available to the public and posted in the facility.

OBRA also identifies important rights for residents in long-term care facilities. **Residents' Rights** relate to how residents must be treated while living in a facility. They are an ethical code of conduct for healthcare workers. Facilities give residents a list of these rights and review each right with them. NAs should be familiar with these legal rights. Residents' Rights are very detailed and include the following:

**Quality of life:** Residents have the right to the best care available. Dignity, choice, and independence are important parts of quality of life.

**Services and activities to maintain a high level of wellness:** Residents must receive the correct care. Facilities must develop care plans for residents, and their care should keep them as healthy as possible. Residents' health should not decline as a direct result of the facility's care.

**The right to be fully informed about rights and services:** Residents must be told what services

are available. They must be told what the fee is for each service. Residents must be given a written copy of their legal rights, along with the facility's rules. Legal rights must be explained in a language they can understand. Residents must be given contact information for state agencies relating to quality of care, such as the ombudsmen program. When requested, survey results must be shared with residents. Residents have the right to be notified in advance of any change of room or roommate. They have the right to communicate with someone who speaks their language. They have the right to assistance for any sensory impairment, such as blindness.

**The right to participate in their own care:** Residents have the right to participate in planning their treatment, care, and discharge. Residents have the right to refuse medication, treatment, care, and restraints. They have the right to be told of changes in their condition. They have the right to review their medical record. Informed consent is a concept that is part of participating in one's own care. A person has the legal and ethical right to direct what happens to his or her body. Doctors also have an ethical duty to involve the person in his or her health care. **Informed consent** is the process in which a person, with the help of a doctor, makes informed decisions about his or her health care.

**The right to make independent choices:** Residents can make choices about their doctors, care, and treatments. They can make personal decisions, such as what to wear and how to spend their time. They can join in community activities, both inside and outside the care facility. They have the right to a reasonable accommodation of their needs and preferences.

**The right to privacy and confidentiality:** Residents have a right to privacy when care is given. Their medical, personal, and financial information cannot be shared with anyone but the care team. Residents have the right to private, unrestricted communication with anyone (Fig. 1-12).



**Fig. 1-12.** Residents have the right to private communication with anyone; they have the right to send and receive mail that is unopened.

**The right to dignity, respect, and freedom:** Residents must be respected and treated with dignity by caregivers. They cannot be abused, mistreated, or neglected in any way.

**The right to security of possessions:** Residents' personal possessions must be safe at all times. They cannot be taken or used by anyone without a resident's permission. Residents have the right to manage their own finances or to choose someone else to do it for them. Residents can ask that the facility handle their money. If the care facility handles residents' financial affairs, residents must have access to their accounts and financial records. They must receive quarterly statements, among other things.

Residents have the right to file a complaint with the state survey and certification agency for abuse, neglect, or misappropriation of their property if the facility is handling their financial affairs. They also have the right to be free from charge for services covered by Medicaid or Medicare.

**Rights during transfers and discharges:** Location changes must be made safely and with the resident's knowledge and consent. Residents have the right to stay in a facility unless a transfer or discharge is needed. They also have the right to appeal a transfer or discharge.

**The right to complain:** Residents have the right to make complaints without fear of punishment.

Facilities must work quickly to try to resolve complaints.

**The right to visits:** Residents have the right to have visits from doctors, family, friends, clergy members, ombudsmen, legal representatives, or any other person.

**Rights with social services:** The facility must provide residents with access to social services. This includes counseling, assistance in solving problems with others, and help contacting legal and financial professionals.

---

### Guidelines: Protecting Residents' Rights

---

- G** Never abuse a resident physically, emotionally, verbally, or sexually. Watch for and immediately report any signs of abuse or neglect.
- G** Call the resident by the name he or she prefers.
- G** Involve residents in planning. Allow residents to make as many choices as possible about when, where, and how care is performed.
- G** Always explain a procedure to a resident before performing it.
- G** Do not unnecessarily expose a resident while giving care.
- G** Respect a resident's refusal of care. Residents have a legal right to refuse treatment and care. However, report the refusal to the nurse immediately.
- G** Tell the nurse if a resident has questions, concerns, or complaints about treatment or the goals of care.
- G** Be truthful when documenting care.
- G** Do not talk or gossip about residents. Keep all resident information confidential.
- G** Knock and ask for permission before entering a resident's room (Fig. 1-13).
- G** Do not accept gifts or money from residents.
- G** Do not open a resident's mail or look through his belongings.



**Fig. 1-13.** To respect privacy, NAs should always knock before entering residents' rooms, even if the door is open.

- G** Respect residents' personal possessions. Handle them gently and carefully.
- G** Report observations about a resident's condition or care.
- G** Help resolve disputes by reporting them to the nurse.

## Residents' Rights

### Maintaining Boundaries

In professional relationships, boundaries must be set. Boundaries are the limits to or within relationships. Nursing assistants are guided by ethics and laws that set limits for their relationships with residents. These boundaries help support a healthy resident-staff relationship. Working closely with residents on a regular basis may make it more difficult to honor the boundaries of professional relationships. Residents may feel that NAs are their friends. If a staff member and resident become personally involved with each other, it becomes more difficult to enforce rules. The resident may expect the NA to break the rules because she thinks they are friends. Emotional attachments to residents are unprofessional and may weaken an NA's judgment. NAs should be friendly, warm, and caring with residents. But they should behave professionally and stay within the limits of set boundaries. Facility rules and the care plan's instructions should be followed. They are in place for everyone's protection.

A very important part of protecting residents' rights is preventing abuse and neglect. **Abuse** is purposeful mistreatment that causes physical, mental, or emotional pain or injury to someone. **Neglect** is the failure to provide needed care that results in physical, mental, or emotional

harm to a person. There are many forms of abuse, including the following:

- **Physical abuse** is any treatment, intentional or not, that causes harm to a person's body. This includes slapping, bruising, cutting, burning, physically restraining, pushing, shoving, or even rough handling.
- **Psychological abuse** is emotional harm caused by threatening, scaring, humiliating, intimidating, isolating, or insulting a person, or treating him or her as a child. It also includes verbal abuse. **Verbal abuse** is the use of spoken or written words, pictures, or gestures that threaten, embarrass, or insult a person.
- **Sexual abuse** is the forcing of a person to perform or participate in sexual acts against his or her will. This includes unwanted touching and exposing oneself to a person. It also includes the sharing of pornographic material.
- **Financial abuse** is the improper or illegal use of a person's money, possessions, property, or other assets.
- **Assault** is a threat to harm a person, resulting in the person feeling fearful that he or she will be harmed. Telling a resident that she will be slapped if she does not stop yelling is an example of assault.
- **Battery** is the intentional touching of a person without his or her consent. An example is an NA hitting or pushing a resident. This is also considered physical abuse. Forcing a resident to eat a meal is another example of battery.
- **Domestic violence** is abuse by spouses, intimate partners, or family members. It can be physical, sexual, or emotional. The victim can be a woman or man of any age or a child.
- **False imprisonment** is unlawful restraint that affects a person's freedom of movement.



Both the threat of being physically restrained and actually being physically restrained are types of false imprisonment. Not allowing a resident to leave the facility is also considered false imprisonment.

- **Involuntary seclusion** is the separation of a person from others against the person's will. An example is an NA confining a resident to his room.
- **Workplace violence** is abuse of staff by other staff members, residents, or visitors. It can be verbal, physical, or sexual. This includes improper touching and discussion about sexual subjects.
- **Sexual harassment** is any unwelcome sexual advance or behavior that creates an intimidating, hostile, or offensive working environment. Requests for sexual favors, unwanted touching, and other acts of a sexual nature are examples of sexual harassment.
- **Substance abuse** is the use of legal or illegal drugs, cigarettes, or alcohol in a way that harms oneself or others. For the NA, substance abuse can lead to unsafe practices that result in negligence, malpractice, neglect, and abuse. It can also lead to the loss of the NA's certification.

Neglect can be put into two categories: active neglect and passive neglect. **Active neglect** is the purposeful failure to provide needed care, resulting in harm to a person. **Passive neglect** is the unintentional failure to provide needed care, resulting in physical, mental, or emotional harm to a person. The caregiver may not know how to properly care for the resident, or may not understand the resident's needs.

**Negligence** means actions, or the failure to act or provide the proper care for a resident, resulting in unintended injury. An example of negligence is an NA forgetting to lock a resident's wheelchair before transferring her. The resident falls and is injured. **Malpractice** occurs when a

person is injured due to professional misconduct through negligence, carelessness, or lack of skill.

Nursing assistants must never abuse residents in any way. They must also try to protect residents from others who abuse them. If an NA ever sees or suspects that another caregiver, family member, or resident is abusing a resident, she must report this immediately to the nurse in charge.

**Reporting abuse is not an option—it is the law.**

---

### Observing and Reporting: Abuse and Neglect

---

The following injuries are considered suspicious and should be reported:

- /R Poisoning or traumatic injury
- /R Teeth marks
- /R Belt buckle or strap marks
- /R Bruises, contusions, and welts
- /R Scars
- /R Fractures, dislocation
- /R Burns of unusual shape and in unusual locations, cigarette burns
- /R Scalding burns
- /R Scratches or puncture wounds
- /R Scalp tenderness or patches of missing hair
- /R Swelling in the face, broken teeth, nasal discharge
- /R Bruises, bleeding, or discharge from the vaginal area

These signs could indicate abuse:

- /R Yelling obscenities
- /R Fear, apprehension, fear of being alone
- /R Poor self-control
- /R Constant pain
- /R Threatening to hurt others
- /R Withdrawal or apathy (Fig. 1-14)



**Fig. 1-14.** *Withdrawing from others is an important change to report.*

- o/r Alcohol or drug abuse
- o/r Agitation or anxiety, signs of stress
- o/r Low self-esteem
- o/r Mood changes, confusion, disorientation
- o/r Private conversations not allowed, or the family member/caregiver is present during all conversations
- o/r Reports of questionable care by the resident or his family

These signs could indicate neglect:

- o/r Pressure ulcers
- o/r Unclean body
- o/r Body lice
- o/r Unanswered call lights
- o/r Soiled bedding or incontinence briefs not being changed
- o/r Poorly-fitting clothing
- o/r Unmet needs relating to hearing aids, eye-glasses, etc.
- o/r Weight loss, poor appetite
- o/r Uneaten food

- o/r Dehydration
- o/r Fresh water or beverages not being offered regularly
- o/r Reports of not receiving prescribed medication by the resident or his family

Nursing assistants are in an excellent position to observe and report abuse or neglect. NAs have an ethical and legal responsibility to observe for signs of abuse and to report suspected cases to the proper person. NAs must follow the chain of command when reporting abuse. If action is not taken, the NA should keep reporting up the chain of command until action is taken. If no action is taken at the facility level, she can call the state abuse hotline, which is an anonymous call. If a life-or-death situation is witnessed, the NA should remove the resident to a safe place if possible. The NA should get help immediately or have someone go for help. The resident should not be left alone.

If abuse is suspected or observed, the NA should give the nurse as much information as possible. If residents want to make a complaint of abuse, NAs must help them in every way. This includes telling them of the process and their rights. NAs must never retaliate against (punish) residents complaining of abuse. If an NA sees someone being cruel or abusive to a resident who made a complaint, she must report it.

An ombudsman can assist residents, too. An **ombudsman** is assigned by law as the legal advocate for residents ([ltcombudsman.org](http://ltcombudsman.org)). The Older Americans Act (OAA) is a federal law that requires all states to have an ombudsman program. An ombudsman visits facilities and listens to residents. He or she decides what action to take if there are problems. Ombudsmen can help resolve conflicts and settle disputes concerning residents' health, safety, welfare, and rights. The ombudsman will gather information and try to resolve the problem on the resident's behalf and may suggest ways to solve the problem. Ombudsmen provide an ongoing presence

in long-term care facilities. They monitor care and conditions (Fig. 1-15).



**Fig. 1-15.** An ombudsman is a legal advocate for residents. He or she may work with other agencies to resolve complaints.

## Residents' Rights

### Residents' Council

A Residents' Council is a group of residents who meet regularly to discuss issues related to the care facility. This council gives residents a voice in facility operations. Topics of discussion may include facility policies, decisions regarding activities, concerns, and problems. The Residents' Council offers residents a chance to provide suggestions on improving the quality of care. Council executives are elected by residents. Family members are invited to attend meetings with or on behalf of residents. Staff may participate in this process when invited by council members.

To respect **confidentiality** means to keep private things private. Nursing assistants will learn confidential (private) information about residents. They may learn about a resident's health, finances, and relationships. Ethically and legally, they must protect this information. NAs should not share information about residents with anyone other than the care team.

Congress passed the **Health Insurance Portability and Accountability Act (HIPAA)** ([hhs.gov/ocr/privacy](http://hhs.gov/ocr/privacy)) in 1996. It has been

further defined and revised since then. One reason this law was passed is to help keep health information private and secure. All healthcare organizations must take special steps to protect health information. Their employees can be fined and/or imprisoned if they do not follow rules to protect patient privacy.

Under HIPAA, a person's health information must be kept private. It is called **protected health information (PHI)**. Examples of PHI include name, address, telephone number, social security number, email address, and medical record number. Only those who must have information for care or to process records should know a person's private health information. They must protect the information. It must not become known or used by anyone else. It must be kept confidential.

HIPAA applies to all healthcare providers, including doctors, nurses, nursing assistants, and any other care team members. NAs cannot give out any information about a resident to anyone who is not directly involved in the resident's care unless the resident gives official consent or unless the law requires it. For example, if a neighbor asks an NA how a resident is doing, she should reply, "I'm sorry, but I cannot share that information. It's confidential." That is the correct response to anyone who does not have a legal reason to know about the resident.

## Guidelines: Protecting Privacy

- G** Make sure you are in a private area when you listen to or read your messages.
- G** Know with whom you are speaking on the phone. If you are not sure, get a name and number. Call back after you find out it is all right to do so.
- G** Do not talk about residents in public (Fig. 1-16). Public areas include elevators, grocery stores, lounges, waiting rooms, parking garages, schools, restaurants, etc.



**Fig. 1-16.** NAs should not discuss any information about residents in public places.

- G** Use confidential rooms for reports to other care team members.
- G** If you see a resident's family member or a former resident in public, be careful with your greeting. He or she may not want others to know about the family member or that he or she has been a resident.
- G** Do not bring family or friends to the facility to meet residents.
- G** Make sure nobody can see health or personal information on your computer screen while you are working. Log out and/or exit the web browser when finished with computer work.
- G** Do not give confidential information in emails. You do not know who has access to your messages.
- G** Do not take photos of residents. Do not share resident information or photos on social networking sites, such as Facebook, Twitter, Instagram, or Pinterest.
- G** Make sure fax numbers are correct before faxing information. Use a cover sheet with a confidentiality statement.
- G** Do not leave documents where others may see them.
- G** Store, file, or shred documents according to facility policy. If you find documents with a resident's information, give them to the nurse.

All healthcare workers must follow HIPAA regulations no matter where they are or what they are

doing. There are serious penalties for violating these rules, including

- Fines ranging from \$100 to \$1.5 million
- Prison sentences of up to ten years

Maintaining confidentiality is a legal and ethical obligation. It is part of respecting residents and their rights. Discussing a resident's care or personal affairs with anyone other than members of the care team violates the law.

## 8. Explain legal aspects of the resident's medical record

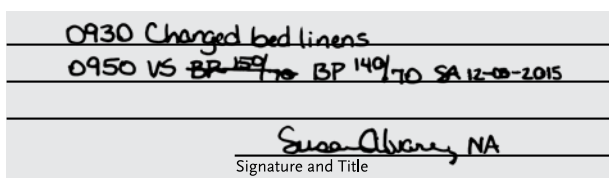
The resident's medical record or chart is a legal document. What is documented in the chart is considered in court to be what actually happened. In general, if something does not appear in a resident's chart, it did not legally happen. Failing to document care could cause very serious legal problems for NAs and their employers. It could also harm residents. NAs must remember that if it was not documented, it was not done. Careful charting is important for these reasons:

- It is the only way to guarantee clear and complete communication among all the members of the care team.
- Documentation is a legal record of every resident's treatment. Medical charts can be used in court as legal evidence.
- Documentation helps protect nursing assistants and their employers from liability by proving what they did when caring for residents.
- Documentation gives an up-to-date record of the status and care of each resident.

### Guidelines: Careful Documentation

- G** Document care immediately after it is given. This makes details easier to remember. **Do not record any care before it has been done.**

- G** Think about what you want to say before documenting. Be as brief and as clear as possible.
- G** Use facts, not opinions.
- G** Use black ink when documenting by hand. Write as neatly as you can.
- G** If you make a mistake, draw one line through it. Write the correct information. Put your initials and the date (Fig. 1-17). Do not erase what you have written. Do not use correction fluid. Documentation done on a computer is time-stamped; it can only be changed by entering another notation.



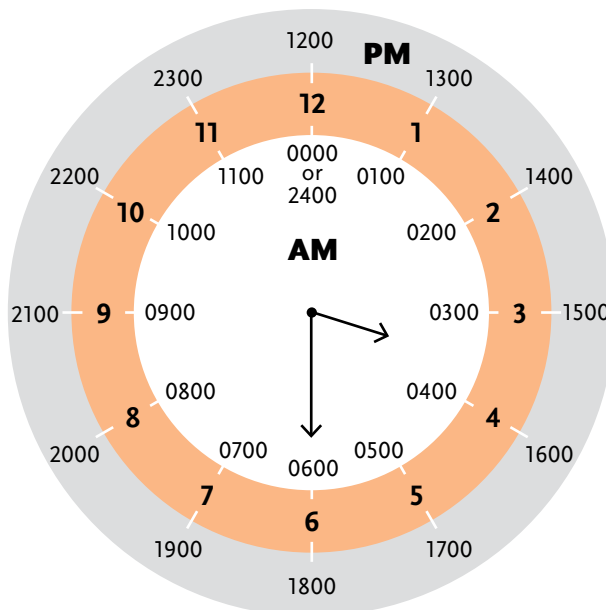
**Fig. 1-17.** One example of how to correct a mistake.

- G** Sign your full name and title (for example, Sara Martinez, CNA). Write the correct date.
- G** Document as specified in the care plan. Some facilities have a check-off sheet for documenting care. It is also called an ADL (activities of daily living) or flow sheet.
- G** Documentation may need to be done using the 24-hour clock, or military time (Fig. 1-18). Regular time uses the numbers 1 to 12 to show each of the 24 hours in a day. In military time, the hours are numbered from 00 to 23 or 24. Midnight is expressed as 0000 (although it can also be written as 2400), 1:00 a.m. is 0100, 1:00 p.m. is 1300, and so on.

To change regular hours between 1:00 p.m. to 11:59 p.m. to military time, add 12 to the regular time. For example, to change 4:00 p.m. to military time, add 4 + 12. The time is expressed as 1600 (sixteen hundred) hours. To change from military time to regular time, subtract 12. For example, to change 2200 hours to standard time, subtract 12 from 22. The answer is 10:00 p.m.

Both regular and military time list minutes and seconds the same way. The minutes and seconds do not change when converting from regular to military time. For example, to change 4:22 p.m. to military time, add 4 + 12. The minutes do not change. The time is expressed as 1622 (sixteen twenty-two) hours.

Midnight can be expressed two different ways in military time. It can be written as 0000, and it can also be written as 2400. This follows the rule of adding 12 to the regular time. Follow facility policy on how to express midnight.



**Fig. 1-18.** Divisions in the 24-hour clock.

- G** At some facilities, computers are used to document information. Computers record and store information. It can be retrieved when it is needed. This is faster and more accurate than writing information by hand. If your facility uses computers for documentation, you will be trained to use them. HIPAA privacy guidelines apply to computer use. Make sure nobody can see private and protected health information on your computer screen. Confidential information should not be shared with anyone except the care team.



## 9. Explain the Minimum Data Set (MDS)

A resident assessment system was developed by the federal government in 1990 and is revised periodically. It is called the **Minimum Data Set (MDS)**. The MDS is a detailed form with guidelines for assessing residents. It also lists what to do if resident problems are identified. Staff must complete the MDS for each resident within 14 days of admission and again each year. In addition, each resident's MDS must be reviewed every three months. A new MDS must be done when there is any major change in the resident's condition. NAs contribute to the MDS by reporting changes in residents promptly and documenting accurately. Doing this means a new MDS can be completed when needed.

## 10. Discuss incident reports

An **incident** is an accident, problem, or unexpected event during the course of care. It is something that is not part of the normal routine. A mistake in care, such as feeding a resident from the wrong meal tray, is an incident. A resident falling or being injured is another type of incident. Accusations made by residents against staff and employee injuries are other types of incidents. State and federal guidelines require that incidents be recorded in an incident report. An incident report (also called an occurrence or event report) is a report that documents the incident and the response to it. The information in an incident report is confidential. Incident reports should be done when any of the following occurs:

- A resident falls (all falls must be reported, even if the resident says he or she is fine)
- An NA or a resident breaks or damages something
- An NA makes a mistake in care
- A resident or a family member makes a request that is out of the NA's scope of practice

- A resident or a family member makes sexual advances or remarks
- Anything happens that makes an NA feel uncomfortable, threatened, or unsafe
- An NA gets injured on the job
- An NA is exposed to blood or body fluids

Reporting and documenting incidents is done to protect everyone involved. This includes the resident, the employer, and the nursing assistant. NAs must report any incident, including job-related injuries, immediately to the charge nurse. When documenting incidents, NAs should complete the report as soon as possible and give it to the charge nurse. This is important so that details are not forgotten.

If a resident falls and the NA did not see it, he should not write, "Mr. G fell." Instead he should write, "Found Mr. G on the floor," or, "Mr. G states that he fell." NAs should write brief and accurate descriptions of the events as they happened. They should not place blame or liability within the report. Incident reports help demonstrate areas where changes can be made to avoid repeating the same incident.

---

### Guidelines: Incident Reporting

---

- G** Tell what happened. State the time and the mental and physical condition of the person.
- G** Tell how the person tolerated the incident (his reaction).
- G** State the facts; do not give opinions.
- G** Do not write anything in the incident report on the medical record.
- G** Describe the action taken to give care.